

# Hermitian Geometries in Projective Space

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## ABSTRACT

Using a Hermitian form on a vector space over  $\text{GF}(l)$ , we produce a geometry on the associated projective space and prove that this geometry is characterized by its plane sections.

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## INTRODUCTION

From a vector space  $V$  of dimension  $n+1$  over the Galois field  $\text{GF}(l)$ , the classical projective space  $P(n, l)$  is produced by taking the one and two dimensional subspaces of  $V$  to be the points and lines of  $P(n, l)$ . If there is a Hermitian form defined on  $V$ , then  $l=k^2$  and we produce a *Hermitian geometry* on  $P(n, l)$  by deleting the projective points which are images of vectors on which the form vanishes. For  $n=2$ , the resulting *Hermitian planes* are: *affine planes* (points on one projective line deleted), *ultraaffine planes* (points on  $k+1$  projective lines through a fixed point deleted), and *planes with semiovals* ( $k^3+1$  projective points deleted, no  $k+2$  of which are collinear). In this paper we characterize Hermitian geometries by their plane sections. Our main theorem states: if  $\mathcal{G}$  is a geometry in  $P(n, l)$  such that the planes of  $\mathcal{G}$  are Hermitian and the points of  $\mathcal{G}$  lie in no proper subspace of  $P(n, l)$ , then  $\mathcal{G}$  is a Hermitian geometry.

## 1. DEFINITIONS AND RELATED WORK

In general, a *geometry*  $\mathcal{G}$  is a finite collection of points and point sets called lines such that two points lie on at most one line. A *subgeometry*  $\mathcal{S}$  of  $\mathcal{G}$  is a subset of points of  $\mathcal{G}$  together with all the lines through at least two points of the subset. The points in the *subgeometry generated by a subset*  $S$  of points of  $\mathcal{G}$  are the points of  $S$ , all the points on lines joining points of  $S$

(the “first generation” points), all the points on lines joining first generation points to points in  $S$  and to other first generation points (“second generation”), and so on. A *plane of  $\mathcal{G}$*  is the subgeometry generated by three noncollinear points. We say that a geometry  $\mathcal{G}$  is *carried by  $P(n, l)$*  if the points and lines of  $\mathcal{G}$  are projective points and subsets of projective lines such that the points of  $\mathcal{G}$  lie in no proper subspace of  $P(n, l)$ , *i.e.*, they span  $P(n, l)$ . Furthermore, we call a geometry *locally Hermitian* if each of its planes is a Hermitian plane. Thus, we may restate the main theorem as follows: a locally Hermitian geometry carried by  $P(n, l)$  is a Hermitian geometry.

Theorems characterizing the classical projective and affine spaces by their plane sections serve as models for our work. A geometry in which every plane is a Desarguesian projective plane is a projective space  $P(n, l)$  (see [2] for a proof). F. Buekenhout [2] and M. Hall [7] have obtained analogous results for affine spaces. Geometries with both projective and affine planes have been determined by J. Hall [6] and L. Teirlinck [13].

This paper completes a study of geometries in  $P(n, l)$  produced by sesquilinear forms on the associated vector space  $V_{n+1}$ . We produce the *symplectic geometry* from an alternative bilinear form by deleting the projective lines which are images of the two dimensional subspaces of  $V_{n+1}$  on which the form is identically zero (totally isotropic lines) and the projective points whose preimages are in the radical of the form. The planes of a symplectic geometry are dual affine. M. Hale and the author [4] characterized such geometries as follows: a geometry with all planes dual affine and carried by  $P(n, l)$  for  $l > 2$  is a symplectic geometry. M. Hale [5] had determined locally dual affine geometries in  $P(n, 2)$  earlier. If the form is symmetric, we produce the *orthogonal geometry* by deleting the projective points that are images of vectors on which the form is zero (isotropic points). The planes of an orthogonal geometry are: affine planes, hyperaffine planes (points on two projective lines deleted), punctured planes (one point deleted), and planes with ovals ( $l+1$  points deleted, no three of which are collinear). The author [3] recently proved that a geometry carried by  $P(n, l)$  for  $l$  odd such that every plane is orthogonal is an orthogonal geometry.

Much work has been done on the characterization of the isotropic points and totally isotropic lines produced by a sesquilinear form. The set of points in  $P(n, l)$  isotropic under a nonsingular Hermitian form on  $V_{n+1}$  is the canonical nonsingular Hermitian variety. Each line in  $P(n, l)$  meets this set in 1,  $k+1$ , or  $l+1$  points. Tallini Scafati [12] began a characterization of these varieties using this property. This work has been expanded by J. W. P. Hirschfeld and J. A. Thas [8, 9]. Many characterizations by line or plane sections of sets of isotropic points have involved only nonsingular forms. The geometries we consider contain a mix of planes produced by both singular and nonsingular forms.

In this paper we work over the Galois field  $\text{GF}(l)$  for  $l=k^2$  and assume that  $\phi$  is the involutory automorphism on  $\text{GF}(l)$  defined by  $\alpha^\phi = \alpha^{k+1}$  for  $\alpha \in \text{GF}(l)$ . We write  $P_n$  for  $P(n, l)$  and denote the projective subspace spanned by points  $m, n, \dots$  and lines  $M, N, \dots$  by  $\langle m, n, \dots, M, N, \dots \rangle$ . If  $p \in P_n$ , we let  $p^*$  denote a vector in the associated vector space  $V_{n+1}$  which generates  $p$ , i.e.,  $p = \{\alpha p^* | \alpha \in \text{GF}(l)\}$ . For a subspace  $T$  of  $P_n$ ,  $T^*$  is the subspace of  $V$  spanned by  $\{p^* | p \in T\}$ .

In Sec. 2, we describe the Hermitian planes and derive properties of locally Hermitian geometries. In Sec. 3, we discuss our strategy for proving the main theorem and develop a notion of perpendicularity. Sections 4 and 5 contain the proofs of our theorem in the  $P_3$  and the general case respectively.

## 2. LOCALLY HERMITIAN GEOMETRIES

We begin this section with a description of the Hermitian planes carried by a projective plane  $P$ . Let  $\{m^*, n^*, p^*\}$  be a basis for the vector space  $V$  associated with  $P$ ; the possible Hermitian forms on  $V$  are defined with respect to this basis. If the form is given by

$$\begin{bmatrix} b & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

for  $b \neq 0$ , then the points on  $\langle n, p \rangle$  are deleted to produce the affine plane  $p'$ . If the form has the matrix

$$\begin{bmatrix} 0 & c & 0 \\ c^\phi & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

for  $c \neq 0$ , then the points on  $k+1$  lines through  $p$  are deleted to produce the ultraaffine plane  $P'$ . The resulting lines of  $P'$  contain  $l$  or  $l-k$  points; we say these lines have length  $l$  or  $l-k$ . If the matrix for the form is

$$\begin{bmatrix} 0 & c & 0 \\ c^\phi & 0 & 0 \\ 0 & 0 & b \end{bmatrix}$$

for  $b = -(c + c^\phi) \neq 0$ , the Hermitian plane  $P'$  is produced by deleting  $k^3 + 1$

points of  $P$ , no  $k+2$  of which are collinear. The plane  $P'$ , called a plane with a semioval, has lines of length  $l$  and  $l-k$ . For proof that this is a complete list of the possible Hermitian planes, see [11].

If  $\mathcal{H}$  is the Hermitian geometry produced on  $P_n$  by a form on  $V_{n+1}$ , then every projective line or plane is deleted or carries a line or plane of  $\mathcal{H}$ . The following series of results shows that a locally Hermitian geometry  $\mathcal{G}$  carried by  $P_n$  behaves the same way. If a projective line  $L$  carries a line  $L'$  of  $\mathcal{G}$ , we refer to the points on  $L \setminus L'$  as *deleted points for  $L'$* . Also a projective line or plane containing no  $\mathcal{G}$  points is called *deleted*.

LEMMA 1. *If  $x, y \in \mathcal{G}$ , then  $\langle x, y \rangle$  carries a line of  $\mathcal{G}$ .*

*Proof.* Since the points of  $\mathcal{G}$  span  $P_n$ , there is a point  $z$  of  $\mathcal{G}$  not on  $\langle x, y \rangle$ . These three points generate a plane  $P'$  of  $\mathcal{G}$  carried by  $\langle x, y, z \rangle$ . By inspection of the possible configurations of  $P'$ , we see that  $\langle x, y \rangle$  carries a line in  $P'$ . ■

LEMMA 2. *If  $\mathcal{G}$  is a locally Hermitian geometry carried by  $P_3$ , then every projective plane  $P$  carries a Hermitian plane of  $\mathcal{G}$  or is deleted.*

*Proof.* Assuming that  $P$  is not deleted, we can find a point  $x$  of  $\mathcal{G}$  in  $P$ . By the spanning property of  $\mathcal{G}$ , we can also find points  $y, z$  in  $\mathcal{G}$  outside  $P$ ; furthermore, by dimensionality,  $\langle x, y, z \rangle$  meets  $P$  in a line  $L$  through  $x$ . Applying these arguments again, there is a  $\mathcal{G}$  point  $u \notin \langle x, y, z \rangle$  such that  $\langle x, y, u \rangle$  meets  $P$  in a line  $M$ . We note that  $L \neq M$ ; otherwise,  $u \in \langle y, M \rangle = \langle y, L \rangle = \langle x, y, z \rangle$ . Both  $\langle x, y, z \rangle$  and  $\langle x, y, u \rangle$  carry planes of  $\mathcal{G}$ , so  $L$  and  $M$  carry lines of  $\mathcal{G}$ , and thus  $P$  carries a plane of  $\mathcal{G}$ . ■

LEMMA 3. *Assume that  $\mathcal{G}$  is a locally Hermitian geometry carried by  $P_n$  and that  $\mathcal{S}$  is the subgeometry of  $\mathcal{G}$  generated by four noncoplanar points  $p, q, r$ , and  $s$  of  $\mathcal{G}$ . Then  $\mathcal{S}$  is a locally Hermitian geometry carried by  $P_3 = \langle p, q, r, s \rangle$ .*

*Proof.* We first claim that the points of  $\mathcal{G}$  in  $P_3$  are the points of  $\mathcal{S}$ . If  $x$  is a  $\mathcal{G}$  point on  $\langle p, q \rangle$ , then clearly  $x \in \mathcal{S}$ . For  $x \notin \langle p, q \rangle$ , the plane  $\langle x, p, q \rangle$  carries a Hermitian plane of  $\mathcal{G}$  and meets  $\langle r, s, p \rangle$  in a line  $L$  through  $p$ . The  $\mathcal{G}$  line carried by  $L$  is a line of  $\mathcal{S}$ , since  $L \subseteq \langle r, x, p \rangle$ , and it follows that the  $\mathcal{G}$  lines in  $\langle L, q \rangle$  are lines of  $\mathcal{S}$ . Since  $x$  lies on one of these lines,  $x \in \mathcal{S}$ . We now prove that  $\mathcal{S}$  is locally Hermitian by arguing that every plane  $P$  of  $P_3$  containing at least one  $\mathcal{G}$  point  $x$  has three noncollinear  $\mathcal{G}$  points and thus carries a plane of  $\mathcal{G}$ .

*Case 1.*  $\langle p, q \rangle$  or  $\langle r, s \rangle$  is in  $P$ . We may assume that  $\langle p, q \rangle$  lies in  $P$ . Then  $\langle q, r, s \rangle$  meets  $P$  in a line  $L \neq \langle p, q \rangle$ , and so  $P$  contains three noncollinear points of  $\mathcal{G}$ .

*Case 2.* Neither  $\langle p, q \rangle$  nor  $\langle r, s \rangle$  is in  $P$ . Without loss of generality, we may assume  $p, q, r, s \notin P$ . Since  $\langle p, q \rangle$  and  $\langle r, s \rangle$  do not intersect, we may also assume that  $x$  does not lie on  $\langle p, q \rangle$ . The planes  $\langle x, p, q \rangle$  and  $\langle q, r, s \rangle$  meet  $P$  in lines  $L$  and  $M$  respectively. We observe that  $L \neq M$ ; otherwise,  $p, q, r, s \in \langle q, L \rangle$ . It follows that  $P$  contains three noncollinear  $\mathcal{G}$  points.

That  $\mathcal{S}$  is carried by  $P_3$  follows from the fact that the two nonintersecting lines  $\langle r, s \rangle$  and  $\langle p, q \rangle$  generate a three dimensional projective space. ■

LEMMA 4. *Let  $G$  be a locally Hermitian geometry carried by  $P_n$ . Then*

- (i) *every point of  $P_n$  lies on a projective line carrying a line of  $\mathcal{G}$ .*
- (ii) *every line of  $P_n$  is deleted or carries a line of  $\mathcal{G}$ , and*
- (iii) *every plane of  $P_n$  is deleted or carries a plane of  $\mathcal{G}$ .*

*Proof.* To prove (i), let  $z \in P_n$ .

*Case 1.*  $z \in \langle x, y \rangle$  such that  $x, y \in G$ . By Lemma 1,  $\langle x, y \rangle = \langle x, z \rangle$  carries a  $\mathcal{G}$  line.

*Case 2.*  $z \in \langle x, y \rangle$  such that  $x \in \langle r, s \rangle$ ,  $y \in \langle p, q \rangle$  for  $r, s, p, q \in \mathcal{G}$ . By Lemma 3,  $\langle r, s, p, q \rangle$  carries a locally Hermitian subgeometry of  $\mathcal{G}$ , and by Lemma 2,  $\langle x, q, y \rangle$  carries a Hermitian plane of  $\mathcal{G}$ . Thus  $\langle q, z \rangle$  carries a line of  $\mathcal{G}$ .

*Case 3.*  $z \in \langle x, y \rangle$  such that  $x \in \mathcal{G}$ , and  $y \in \langle p, q \rangle$  for  $p, q \in \mathcal{G}$ . Since  $\mathcal{G}$  spans  $P_n$ , there is a point  $r$  in  $\mathcal{G}$  outside of  $\langle p, q, x \rangle$ . Thus applying Lemmas 2 and 3 to  $\langle r, x, p, q \rangle$ , we have that  $\langle q, z \rangle$  carries a line of  $\mathcal{G}$ .

Since the projective space generated by the points of  $\mathcal{G}$  is  $P_n$ , all projective points fall into the following classes: points of  $\mathcal{G}$ , points on projective lines through two  $\mathcal{G}$  points ("first generation"), points on lines through first generation and  $\mathcal{G}$  points ("second generation"), and so on. The three cases show that no new points appear in the second generation. Thus (i) holds.

For (ii), let  $L$  be a projective line with at least one point  $x$  of  $G$ , and let  $u \neq x$  be another point on  $L$ . By (i), there exists  $w$  in  $\mathcal{G}$  such that  $\langle u, w \rangle$  carries a  $\mathcal{G}$  line and such that  $\langle x, u, w \rangle$  carries a line or plane of  $\mathcal{G}$ . In either case  $L$  carries a  $\mathcal{G}$  line.

To show that (iii) is true, we let  $P$  be a projective plane containing a point  $x$  of  $\mathcal{G}$  and choose two lines  $L$  and  $M$  through  $x$  in  $P$ . By (ii),  $L$  and  $M$  carry  $\mathcal{G}$  lines, and so  $P$  contains three noncollinear points of  $\mathcal{G}$ . ■

### 3. STRATEGY AND PERPENDICULARITY

Our general strategy for proving that a locally Hermitian geometry  $\mathcal{G}$  carried by  $P_n$  is Hermitian is similar to what we used in the symplectic and orthogonal cases (see [4] and [3]). A projective point  $s$  is *singular for  $\mathcal{G}$*  if  $s \notin \mathcal{G}$ . (We could have defined singularity in terms of lines of  $\mathcal{G}$  as we did in the symplectic and orthogonal cases. It is immediate that the singular points for  $\mathcal{G}$  are the points not on any lines of  $\mathcal{G}$ . In contrast to geometries produced by alternate bilinear forms, if the projective point  $s$  lies on a line  $L$  which is deleted or carries a  $\mathcal{G}$  line missing  $s$ , then  $s$  is singular for  $\mathcal{G}$ .)

We will prove  $\mathcal{G}$  is a Hermitian geometry by finding a Hermitian form  $(\ , \ )$  on the vector space  $V_{n+1}$  associated with  $P_n$  such that a point  $s$  is singular for  $\mathcal{G}$  exactly when  $(s^*, s^*) = 0$ . We do this in two steps: in the construction step, we define a form on  $V_{n+1}$  such that the Hermitian geometry  $\mathcal{K}$  produced by the form agrees with  $\mathcal{G}$  on certain subspaces of  $P_n$ ; in the uniqueness step, we show that the agreement of  $\mathcal{G}$  and  $\mathcal{K}$  on these subspaces forces agreement on all of  $P_n$ .

These subspaces of agreement arise from a notion of perpendicularity. If  $s$  is a singular point of  $\mathcal{G}$ , we define the set  $s^\perp$  to be  $\{p \in P_n \mid \langle x, p \rangle \text{ is a deleted point or line or carries a line of length } l \text{ in } \mathcal{G}\}$ . We see from the next result that  $s^\perp$  behaves as it should.

**LEMMA 5.** *If  $s$  is singular for  $\mathcal{G}$ , then  $s^\perp$  is a projective hyperplane of  $P_n$ .*

*Proof.* We first show that  $s^\perp$  is projective line closed by choosing  $x$  and  $y$  in  $s^\perp$  and arguing that for any  $w \in \langle x, y \rangle$ ,  $\langle x, w \rangle$  is deleted or carries a  $\mathcal{G}$  line of length  $l$ . If  $x, y$ , and  $s$  are collinear, then our result is immediate. Otherwise,  $\langle x, y, s \rangle$  is a plane containing at least one deleted line through  $s$  or two projective lines through  $s$  carrying  $l$ -length lines of  $\mathcal{G}$ . It follows that  $\langle x, y, s \rangle$  is deleted or carries an affine or ultraaffine plane of  $\mathcal{G}$  in which all the projective lines through  $s$  are deleted or carry  $\mathcal{G}$  lines of length  $l$ . Hence  $w \in s^\perp$ .

It remains to show that  $s^\perp$  meets every projective line. Assume that for some line  $L$  of  $P_n$ ,  $s^\perp \cap L = \emptyset$ . Then for any point  $x$  on  $L$ ,  $\langle x, s \rangle$  carries a  $\mathcal{G}$  line of length  $l - k$ , and so there are  $(l + 1)k + 1 = k^3 + k + 1$  singular points for  $\mathcal{G}$  in  $\langle s, L \rangle$ . However, there is no Hermitian plane configuration with exactly this number of singular points. Thus,  $s^\perp \cap L \neq \emptyset$ . ■

The next group of results will be used to find a Hermitian form on  $V_{n+1}$  which produces  $\mathcal{G}$  on  $P_n$ .

LEMMA 6. Let  $\mathcal{H}$  be the Hermitian geometry on  $P_n$  produced by the Hermitian form  $(\ , \ )$  on  $V_{n+1}$ . If  $s$  is a singular point for  $\mathcal{H}$ , the projective point  $p$  is in  $s^\perp$  exactly when  $(s^*, p^*) = 0$ .

*Proof.* We note that by construction,  $(s^*, s^*) = 0$ . Any point on  $\langle s, p \rangle$  different from  $s$  can be written  $\langle \alpha s^* + p^* \rangle$  for  $\alpha \in \text{GF}(l)$ . Let  $a_1 = (s^*, p^*)$  and  $a_2 = (p^*, p^*)$ ; then  $(\alpha s^* + p^*, \alpha s^* + p^*) = \alpha a_1 + (\alpha a_1)^\phi + a_2$ . It is straightforward to see that  $a_1 = 0$  implies that  $\langle s, p \rangle$  is deleted or carries a line of length  $l$  in  $\mathcal{H}$ .

Now let us assume  $a_1 \neq 0$  and show that this contradicts the fact that  $p \in s^\perp$ . If  $\langle s, p \rangle$  carries an  $l$ -length line of  $\mathcal{H}$ , then  $a_2 \neq 0$  and we can find  $\alpha \in \text{GF}(l)$  such that

$$\alpha a_1 + (\alpha a_1)^\phi = -a_2.$$

However, this implies that  $\langle s, p \rangle$  contains more than one singular point for  $\mathcal{H}$ . If  $\langle s, p \rangle$  is deleted, then  $a_2 = 0$ . Choosing  $\alpha$  such that  $\alpha a_1 + (\alpha a_1)^\phi \neq 0$  leads to the conclusion that  $\langle s, p \rangle$  is not deleted; again, a contradiction. Thus,  $a_1 = 0$ . ■

LEMMA 7. Assume a projective line  $L$  carries a  $\mathcal{G}$  line  $L'$  of length  $l-k$ . The Hermitian form  $(\ , \ )$  on the vector space  $V_2$  associated with  $L$  is defined by the matrix

$$\begin{bmatrix} 0 & a \\ a^\phi & 0 \end{bmatrix}$$

for  $a \neq 0$  such that  $a + a^\phi = 0$ , with respect to some basis for  $V_2$ .

*Proof.* Let  $m$ ,  $n$ , and  $s$  be three singular points for  $L'$ , and choose  $m^*$  and  $n^*$  such that  $s = \langle m^* + n^* \rangle$ . It follows from the singularity of  $m$ ,  $n$ , and  $s$  that the matrix for  $(\ , \ )$  with respect to  $\{m^*, n^*\}$  is

$$\begin{bmatrix} 0 & a \\ a^\phi & 0 \end{bmatrix}$$

where  $a + a^\phi = 0$ . ■

COROLLARY 8. Let  $\mathcal{G}$  and  $\mathcal{H}$  be two locally Hermitian geometries carried by  $P_n$ . Assume that  $L$  carries the  $(l-k)$ -length lines  $L'$  of  $\mathcal{G}$  and  $L''$  of  $\mathcal{H}$ . If

there are three points  $m, n$ , and  $s$  on  $L$  singular for both geometries, then  $L' = L''$ .

REMARK. We say  $G$  and  $H$  agree on  $L$ .

*Proof.* Choosing the basis  $\{m^*, n^*\}$  as in Lemma 7, and  $a \neq 0 \in GF(l)$  such that  $a + a^\phi = 0$ , we define the Hermitian form  $(\ , \ )$  on  $V_2$  by

$$\begin{bmatrix} 0 & a \\ a^\phi & 0 \end{bmatrix}$$

with respect to  $\{m^*, n^*\}$ . From Lemma 7, both  $L'$  and  $L''$  are produced by this form and hence  $L' = L''$ . ■

LEMMA 9. Let  $P$  be a projective plane carrying a plane  $P$  with semioval of  $\mathcal{G}$ . Let  $c \in GF(l)$  such that  $c + c^\phi \neq 0$ . Then the Hermitian form  $(\ , \ )$  on  $V_3$  associated with  $P$  which produces  $P$  is defined by

$$\begin{bmatrix} 0 & c & 0 \\ c^\phi & 0 & 0 \\ 0 & 0 & b \end{bmatrix}$$

for  $b = -(c + c^\phi)$ , with respect to some basis for  $V_3$ .

*Proof.* This proof is found in [10, p. 61]. It is useful to describe how the basis is chosen. Let  $m, n$ , and  $q$  be three noncollinear singular points for  $\mathcal{G}$  in  $P$ , and let  $p$  be the point in  $P$  in  $m^\perp \cap n^\perp$ . If we choose  $m^*, n^*$ , and  $p^*$  such that  $q = \langle m^* + n^* + p^* \rangle$  and  $c$  in  $GF(l)$  such that  $c + c^\phi \neq 0$ , then the Hermitian form defined by the above matrix produces  $P'$ . ■

COROLLARY 10. Let  $\mathcal{G}$  and  $\mathcal{H}$  be two locally Hermitian geometries carried by  $P_n$ . Assume the plane  $P$  carries planes  $P'$  and  $P''$  with semiovals in  $\mathcal{G}$  and  $\mathcal{H}$ , respectively. If there are four points  $m, n, p$ , and  $q$  in  $P$  such that

- (i)  $m, n$ , and  $q$  are noncollinear points singular for  $\mathcal{G}$  and  $\mathcal{H}$ , and
  - (ii)  $p$  is a point in  $\mathcal{G}$  and  $\mathcal{H}$  such that  $p \in m^\perp \cap n^\perp$  in both geometries,
- then  $P' = P''$ .

REMARK. We say that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $P$ .

*Proof.* From Lemma 9,  $P'$  and  $P''$  are produced by the same Hermitian form, and so the singular points for  $\mathcal{G}$  in  $P$  are the singular points for  $\mathcal{H}$  in  $P$ . ■



4. LOCALLY HERMITIAN GEOMETRIES IN  $P_3$ 

As in the symplectic and orthogonal geometries, the result in the three dimensional case is the key for the main theorem.

**THEOREM 1.** *If  $\mathcal{G}$  is a locally Hermitian geometry carried by  $P_3$ , then  $\mathcal{G}$  is a Hermitian geometry.*

*Proof.* We first assume that  $\mathcal{G}$  has at least one ultraaffine plane or plane with a semioval. Then we can choose points  $m$  and  $n$  singular for  $\mathcal{G}$  such that  $\langle m, n \rangle$  carries a  $\mathcal{G}$  line of length  $l-k$ . By dimensionality,  $m^\perp \cap n^\perp$  is a projective line  $L$ . We will choose two points  $p, q$  on  $L$  and define a Hermitian form  $(,)$  on the vector space  $V_4$  associated with  $P_3$  using the basis  $\{m^*, n^*, p^*, q^*\}$ . The Hermitian geometry  $\mathcal{H}$  produced by  $(,)$  will agree with  $\mathcal{G}$  on  $\langle m, n, p \rangle$  and  $L$ ; we will show that this forces agreement on all of  $P_3$ . Our choice of a basis and our definition of the form  $(,)$  depend on how many  $\mathcal{G}$  points lie on  $L$ .

*Case 1.  $L$  is a deleted line.* Let  $s \neq m, n$  be a singular point for  $\mathcal{G}$  on  $\langle m, n \rangle$ , and let  $p, q$  be any two points on  $L$ . Choose  $m^*, n^*$  such that  $s = \langle m^* + n^* \rangle$  and  $c \neq 0 \in \text{GF}(l)$  such that  $c + c^\phi = 0$ . Then the form  $(,)$  defined on  $V_4$  by

$$\begin{bmatrix} 0 & c & 0 & 0 \\ c^\phi & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

via  $\{m^*, n^*, p^*, q^*\}$  produces a Hermitian geometry  $\mathcal{H}$  which agrees with  $\mathcal{G}$  on  $\langle m, n \rangle$  and  $L$ . Furthermore, applying Lemma 6, we have that  $L = m^\perp \cap n^\perp$  in  $\mathcal{H}$ .

We now show that  $\mathcal{G}$  and  $\mathcal{H}$  agree on every plane  $\langle m, n, y \rangle$  for  $y \in L$ . Since  $\langle m, y \rangle$  and  $\langle n, y \rangle$  are deleted for  $\mathcal{G}$  and  $\mathcal{H}$ ,  $\langle m, n, y \rangle$  carries an ultraaffine plane in both geometries. The deleted lines for each geometry are those through  $y$  and the singular points on  $\langle m, n \rangle$ . Since  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $\langle m, n \rangle$ , they agree on  $\langle m, n, y \rangle$ .

*Case 2.  $L$  carries an  $l$ -length line of  $\mathcal{G}$ .* Let  $P$  be a  $\mathcal{G}$  point on  $L$ . Then the plane  $P = \langle m, n, p \rangle$  carries a plane with semioval in  $\mathcal{G}$ . Let  $j$  be a singular point for  $\mathcal{G}$  in  $P \setminus \langle m, n \rangle$ . If we choose  $m^*, n^*$ , and  $p^*$  such that  $j = \langle m + n + p^* \rangle$  and  $c$  in  $\text{GF}(l)$  such that  $c + c^\phi \neq 0$ , then the form defined

via  $\{m^*, n^*, p^*, q^*\}$  by

$$\begin{bmatrix} 0 & c & 0 & 0 \\ c^\phi & 0 & 0 & 0 \\ 0 & 0 & b & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

where  $b = -(c + c^\phi)$ , produces a Hermitian geometry  $\mathcal{H}$  which agrees with  $\mathcal{G}$  on  $P$  by Corollary 10 and on  $L$ . Furthermore, Lemma 6 implies that  $m^\perp \cap n^\perp = L$  and  $q^\perp = P_3$  for  $\mathcal{H}$  as in  $\mathcal{G}$ .

We complete the proof in this case by showing that  $\mathcal{G}$  and  $\mathcal{H}$  agree on all planes  $\langle m, n, y \rangle$  for  $y \in L \setminus \{p\}$ . Since  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $\langle m, n \rangle$  and  $q^\perp = P_3$ , the two geometries agree on the ultraaffine plane carried by  $\langle m, n, q \rangle$ . For  $y \in L \setminus \{p, q\}$ ,  $\langle m, y \rangle$  and  $\langle n, y \rangle$  carry  $l$ -length lines in  $\mathcal{G}$  and  $\mathcal{H}$ . Since  $\langle j, q \rangle$  is deleted for both geometries,  $\langle j, q \rangle$  meets  $\langle m, n, y \rangle$  in a point  $t \notin \langle m, n \rangle$  which is singular for  $\mathcal{G}$  and  $\mathcal{H}$ . Applying Corollary 10 to  $\mathcal{G}$  and  $\mathcal{H}$  using points  $m, n, t$ , and  $y$ , we have that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $\langle m, n, y \rangle$ .

*Case 3.  $L$  carries a  $\mathcal{G}$  line of length  $l - k$ .* Let  $p$  and  $q$  be points on  $L$  such that  $p \in \mathcal{G}$  and  $q$  is singular for  $\mathcal{G}$ . Since  $\langle m, p \rangle$  and  $\langle n, p \rangle$  carry  $l$ -length lines of  $\mathcal{G}$ , the plane  $P = \langle m, n, p \rangle$  carries a plane with semioval in  $\mathcal{G}$  and we can find a singular point  $j$  in  $P \setminus \langle m, n \rangle$ . We choose  $m^*, n^*, p^*$  such that  $j = \langle m^* + n^* + p^* \rangle$  and  $c \in \text{GF}(l)$  such that  $b = -(c + c^\phi) \neq 0$ . Furthermore, let  $s \neq q$  be a singular point for  $\mathcal{G}$  on  $L$ , and choose  $q^*$  such that  $s = \langle p^* + q^* \rangle$ . We define a Hermitian form via  $\{m^*, n^*, p^*, q^*\}$  by

$$\begin{bmatrix} 0 & c & 0 & 0 \\ c^\phi & 0 & 0 & 0 \\ 0 & 0 & b & a \\ 0 & 0 & a^\phi & 0 \end{bmatrix},$$

where  $a$  is determined as follows.

*Claim.* There exists  $\alpha$  in  $\text{GF}(l)$  such that  $\langle p^* + \alpha q^* \rangle$  is singular for  $\mathcal{G}$  and  $\alpha \neq \alpha^\phi$ . The  $k$  singular points for  $\mathcal{G}$  on  $L \setminus \{q\}$  are  $\langle p^* + \gamma q^* \rangle$  for the nonzero values  $\gamma$  in  $\text{GF}(l)$ . There are  $k - 1$  values  $\beta \neq 0$  in  $\text{GF}(l)$  such that  $\beta = \beta^\phi$ . Thus, for some  $\alpha$ ,  $\langle p^* + \alpha q^* \rangle$  is singular and  $\alpha \neq \alpha^\phi$ .

We now solve for  $a$  such that  $\mathcal{G}$  and  $\mathcal{H}$  will agree on  $P$  and  $L$ . The singularity of  $s$  and  $\langle p^* + \alpha q^* \rangle$  implies that  $b + a + a^\phi = 0$  and  $b + \alpha a^\phi + \alpha^\phi a = 0$ . Since  $\alpha - \alpha^\phi \neq 0$ , we have that  $a = (1 - \alpha)b / (\alpha - \alpha^\phi)$ . Applying Corollary 8 to points  $m, n$ , and  $s$  on  $L$  and Corollary 10 to  $m, n, j$ , and  $p$  in  $P$ , we

conclude that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $L$  and  $P$ . Furthermore, from Lemma 6 we have that  $\mathcal{H}$  agrees with  $\mathcal{G}$  on  $m^\perp = \langle m, L \rangle$ ,  $n^\perp = \langle n, L \rangle$ ,  $p^\perp = \langle p, m, n \rangle$ , and  $q^\perp = \langle q, m, n \rangle$ .

We complete this case by showing that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $\langle x, L \rangle$  for all  $x \in \langle m, n \rangle \setminus \{m, n\}$ . When  $x$  is singular for  $\mathcal{G}$  and  $\mathcal{H}$ ,  $\langle x, L \rangle$  carries an ultraaffine plane in both geometries. Since  $\mathcal{G}$  and  $\mathcal{H}$  agree on the singular points on  $L$ , they agree on the lines deleted in  $\langle x, L \rangle$  and hence on the untraffine plane carried by  $\langle x, L \rangle$ . When  $x$  is in  $\mathcal{G}$  and  $\mathcal{H}$ ,  $\langle x, q \rangle$  and  $\langle x, p \rangle$  carry  $l$ -length lines; let  $y$  be the point on  $\langle x, p \rangle$  singular for  $\mathcal{G}$  and  $\mathcal{H}$ . Since  $\mathcal{G}$  and  $\mathcal{H}$  agree on the singularity of  $y$ ,  $s$ , and  $q$  and on  $x \in q^\perp \cap y^\perp$ , we apply Corollary 10 to conclude that they agree on  $\langle x, L \rangle$ .

Finally, if  $\mathcal{G}$  has no planes with semiovals or ultraaffine planes, then all of the planes of  $\mathcal{G}$  are affine. From the work of Buekenhout [2] and M. Hall [7] we know that  $\mathcal{G}$  is the geometry produced by the form on  $V_4$  defined by

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

with respect to some basis. ■

## 5. PROOF OF THE MAIN THEOREM

We prove that a Hermitian geometry is characterized by its planes.

**THEOREM 2.** *If  $\mathcal{G}$  is a locally Hermitian geometry carried by  $P_n$ , then  $\mathcal{G}$  is a Hermitian geometry.*

*Proof.* We prove this theorem by induction on  $n$ ; the  $n=3$  case was done in Theorem 1. Let us now assume that the result holds for locally Hermitian geometries carried by  $P_m$  for  $m < n$  and that  $\mathcal{G}$  is such a geometry carried by  $P_n$ .

*Case 1.*  $\mathcal{G}$  contains a plane  $P'$  with semioval carried by some projective plane  $P$ . Let  $m$  and  $n$  be singular points for  $\mathcal{G}$  in  $P$  such that  $\langle m, n \rangle$  carries a  $\mathcal{G}$  line of length  $l-k$ , and let  $z$  be the  $\mathcal{G}$  point in  $P$  in  $m^\perp \cap n^\perp$ .

*Claim.* The points of  $\mathcal{G}$  in  $m^\perp$  generate a locally Hermitian subgeometry  $\mathcal{S}$  of  $\mathcal{G}$  carried by  $m^\perp$  such that the lines of  $\mathcal{G}$  in  $m^\perp$  are the lines of  $\mathcal{S}$ .

Since the points of  $\mathcal{G}$  in  $m^\perp$  generate  $\mathcal{S}$ , it follows that the lines of  $\mathcal{G}$  in  $m^\perp$  are those of  $\mathcal{S}$  and that the planes of  $\mathcal{S}$  are Hermitian. Thus it remains to prove that  $\mathcal{S}$  is carried by  $m^\perp$ . We do this by showing that any singular point  $x$  for  $\mathcal{G}$  in  $m^\perp$  lies on a line in  $m^\perp$  carrying a  $\mathcal{G}$  line: the line  $\langle x, z \rangle$  is in  $m^\perp$  and carries a  $\mathcal{G}$  line of length  $l$  or  $l-k$ .

By our induction hypothesis,  $\mathcal{S}$  is the Hermitian geometry produced by some form  $(\ , \ )$ , on the vector space  $V_n$  associated with  $m^\perp$ . We will extend  $(\ , \ )$ , to a form on the vector space  $V_{n+1}$  associated with  $P_n$  such that the Hermitian geometry  $\mathcal{H}$  produced by this form agrees with  $\mathcal{G}$  on  $m^\perp$  and  $P$ . Then we will prove that  $\mathcal{G}$  and  $\mathcal{H}$  agree on all of  $P_n$ .

We choose a basis for  $V_{n+1}$  as follows. Let  $s \neq m, n$  be a singular point for  $\mathcal{G}$  on  $\langle m, n \rangle$ , and choose  $m^*, n^*$  such that  $s = \langle m^* + n^* \rangle$ . Let  $\{p_2^*, \dots, p_n^*\}$  be a basis for  $(m^\perp \cap n^\perp)^*$ ; then  $\{p_1^* = m^*, p_2^*, \dots, p_n^*\}$  and  $\{n, p_2^*, \dots, p_n^*\}$  are bases for  $V_n$  and  $V_{n+1}$ , respectively. Now we define the form  $(\ , \ )$  on  $V_{n+1}$  with respect to  $\{n^*, p_1^*, \dots, p_n^*\}$  by the matrix

$$\begin{bmatrix} 0 & c & 0 & \cdots & 0 \\ c^\phi & & & & \\ 0 & & & & \\ \vdots & & (P_i^*, p_j^*)_1 & & \\ 0 & & & & \end{bmatrix}$$

for  $c$  to be determined. Note that since  $p_i \in m^\perp \cap n^\perp$  for  $i \geq 2$ , it follows from Lemma 6 that  $(m^*, p_i^*) = (n^*, p_i^*) = 0$ . Furthermore, by construction,  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $m^\perp$ .

We will choose  $c$  so that  $\mathcal{H}$  and  $\mathcal{G}$  agree on  $P$ .

*Claim.* There exists  $\alpha \in \text{GF}(l)$  such that  $y = \langle m^* + \alpha n^* \rangle$  is a point of  $G$  and  $\alpha \neq \alpha^\phi$ .

There are  $l-k$  values  $\gamma$  in  $\text{GF}(l)$  such that  $\langle m^* + \gamma n^* \rangle$  is a point of  $\mathcal{G}$ , and only  $k-1$  values  $\gamma \neq 0$  such that  $\gamma = \gamma^\phi$ . Hence there exists such an  $\alpha$ . Since  $\langle y, z \rangle$  carries a  $\mathcal{G}$  line of length  $l-k$ , we can choose a point  $t$  on  $\langle y, z \rangle$  singular for  $\mathcal{G}$ , say  $t = \langle m^* + \alpha n^* + \beta z^* \rangle$  for some  $\beta \neq 0$ . Now we solve for  $c$ . From the singularity of  $s$  and  $t$ , we have that  $c + c^\phi = 0$  and  $\alpha c + (\alpha c)^\phi + \beta \beta^\phi (z^*, z^*)_1 = 0$ . Thus, for  $c = \beta \beta^\phi (z^*, z^*)_1 / (\alpha^\phi - \alpha)$ , we conclude from Corollary 10 that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $P$ .

We conclude the proof of this case by showing that if  $x \in P_n \setminus m^\perp \cup P$ , then  $x$  is singular for  $\mathcal{G}$  exactly when  $(x^*, x^*) = 0$ . By dimensionality the three dimensional subspace  $\langle m, n, z, x \rangle$  meets  $m^\perp \cap n^\perp$  in a line  $L$  through  $z$ . By Theorem 1, the agreement of  $\mathcal{G}$  and  $\mathcal{H}$  on  $P$  and  $L$  implies that  $\mathcal{G}$  and  $\mathcal{H}$  agree  $\langle m, n, z, x \rangle$ . Thus the singular points for  $\mathcal{G}$  are exactly those for  $\mathcal{H}$ .

*Case 2.  $G$  contains an ultraaffine plane  $P'$  and no planes with semiovals.* Let  $P$  be the projective plane carrying  $P'$ , and  $m, n$  be singular points for  $\mathcal{G}$  in  $P$  such that  $\langle m, n \rangle$  carries a  $\mathcal{G}$  line of length  $l-k$ .

*Claim.* All the points in  $m^\perp$  are singular for  $G$ . If  $z$  were a  $\mathcal{G}$  point in  $m^\perp$ , then  $\langle m, n, z \rangle$  would carry a plane with semioval, since  $\langle m, n \rangle$  and  $\langle m, z \rangle$  would carry  $(l-k)$ - and  $l$ -length lines, respectively.

We choose the basis  $\{n^*, m^* = p_1^*, \dots, p_n^*\}$  as we did in case 1, and  $c \neq 0 \in \text{GF}(l)$  such that  $c + c^\phi = 0$ . The Hermitian form  $(\ , \ )$  defined on  $V_{n+1}$  with respect to this basis by

$$\begin{bmatrix} 0 & c & 0 & \cdots & 0 \\ c^\phi & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & 0 \end{bmatrix}$$

produces a Hermitian geometry  $\mathcal{H}$  agreeing with  $\mathcal{G}$  on  $m^\perp$  by construction and on  $\langle m, n \rangle$  by Corollary 8. It follows that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $P$ .

To conclude that  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $P_n$ , it is enough to consider any point  $x \in P_n \setminus m^\perp \cup P$ . The subspace  $m^\perp \cap n^\perp$  meets  $\langle m, n, x \rangle$  in a point  $z$ ; thus  $\langle m, z \rangle$  and  $\langle n, z \rangle$  are deleted for both geometries. Furthermore, since  $\mathcal{G}$  and  $\mathcal{H}$  agree on  $\langle m, n \rangle$ , they agree on the ultraaffine plane carried by  $\langle m, n, x \rangle$ . Thus,  $x$  is singular for  $\mathcal{G}$  exactly when  $x$  is singular for  $\mathcal{H}$ , i.e.,  $(x^*, x^*) = 0$ .

*Case 3.  $G$  contains all affine plans.* The results by Buekenhout [2] and Hall [7] imply that  $\mathcal{G}$  is produced by the form defined using some basis of  $V_{n+1}$  by

$$\begin{bmatrix} 1 & 0 & \cdots & 0 \\ 0 & 0 & & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}. \blacksquare$$

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